

# A microbiota signature associated with experimental food allergy promotes allergic sensitization and anaphylaxis

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**Background:** Commensal microbiota play a critical role in maintaining oral tolerance. The effect of food allergy on the gut microbial ecology remains unknown.

**Objective:** We sought to establish the composition of the gut microbiota in experimental food allergy and its role in disease pathogenesis.

**Methods:** Food allergy-prone mice with a gain-of-function mutation in the IL-4 receptor  $\alpha$  chain (*Il4raF709*) and wild-type (WT) control animals were subjected to oral sensitization with chicken egg ovalbumin (OVA). Enforced tolerance was achieved by using allergen-specific regulatory T (Treg) cells. Community structure analysis of gut microbiota was performed by using a high-density 16S rDNA oligonucleotide microarrays (PhyloChip) and massively parallel pyrosequencing of 16S rDNA amplicons.

**Results:** OVA-sensitized *Il4raF709* mice exhibited a specific microbiota signature characterized by coordinate changes in the abundance of taxa of several bacterial families, including the Lachnospiraceae, Lactobacillaceae, Rikenellaceae, and Porphyromonadaceae. This signature was not shared by similarly sensitized WT mice, which did not exhibit an OVA-induced allergic response. Treatment of OVA-sensitized *Il4raF709* mice

with OVA-specific Treg cells led to a distinct tolerance-associated signature coincident with the suppression of the allergic response. The microbiota of allergen-sensitized *Il4raF709* mice differentially promoted OVA-specific IgE responses and anaphylaxis when reconstituted in WT germ-free mice. **Conclusion:** Mice with food allergy exhibit a specific gut microbiota signature capable of transmitting disease susceptibility and subject to reprogramming by enforced tolerance. Disease-associated microbiota may thus play a pathogenic role in food allergy. (J Allergy Clin Immunol 2013;131:201-12.)

**Key words:** Food allergy, microbiome, microbiota, regulatory T cells, tolerance, anaphylaxis, IgE, 16S rDNA, IL-4 receptor

It is unquestionable that food allergy has become a major health problem in developed countries, where the prevalence reaches up to 6% among children and 3% among adults.<sup>1,2</sup> Like other atopic diseases, food allergies have a strong genetic component.<sup>3</sup> However, the incidence of food allergy has increased dramatically in the last decades, particularly in affluent societies, pointing to lifestyle-associated environmental factors acting on genetically susceptible hosts to promote disease.<sup>4</sup> Evidence suggests that the microbial flora are a key environmental influence in programming oral tolerance.<sup>5</sup> Their lack in germ-free (GF) mice is associated with the development of T<sub>H</sub>2 and IgE responses to dietary antigens.<sup>6,7</sup> Microbial signals, such as those delivered by polysaccharide A of the commensal bacterium *Bacteroides fragilis* or by a mix of clostridial species, induce mucosal tolerance by promoting the formation of induced regulatory T (iTreg) cells from naive CD4<sup>+</sup> T-cell precursors.<sup>8,9</sup> Polymorphisms in or deficiency of genetic elements encoding microbial sensors, such as CD14, a high-affinity receptor for bacterial LPSs, and Toll-like receptor 4, which mediates responses to LPS, are associated with food allergy.<sup>10,11</sup>

Changes in the microbial flora have been implicated in the pathogenesis of several disorders associated with the more affluent lifestyle common in developed countries. Obesity in both human subjects and experimental mouse models is associated with alterations in the intestinal microbiota that appear to be pathogenic, given that the microbiota of obese subjects promote weight gain when transferred into GF mice.<sup>12-14</sup> More limited data have been accrued in the study of allergic diseases. In suboptimally controlled asthmatic subjects, both bacterial burden and bacterial diversity were significantly higher compared with those seen in control subjects and correlated with bronchial hyperresponsiveness.<sup>15</sup> The development of atopy and atopic dermatitis is associated with altered early postnatal gut flora.<sup>16,17</sup> In the

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**Abbreviations used**

Foxp3:	Forkhead box protein 3
GF:	Germ free
HC-AN:	Hierarchical clustering-average-neighbor
IL-4R:	IL-4 receptor
iTreg:	Induced regulatory T
KW:	Kruskal-Wallis
mMCP-1:	Murine mast cell protease 1
NMDS:	Nonmetric multidimensional scaling
OTU:	Operational taxonomic unit
OVA:	Ovalbumin
PAM:	Prediction Analysis for Microarrays
rDNA:	Ribosomal DNA
SEB:	Staphylococcal enterotoxin B
STAT6:	Signal transducer and activator of transcription 6
TCR:	T-cell receptor
Treg:	Regulatory T
WT:	Wild-type

case of food allergy and despite the well-known role of the commensal flora in oral tolerance induction, there is meager information on the status of the intestinal microbiota on disease onset and after tolerance establishment. One study found alterations in the microbiota of infants with milk allergy on diagnosis and again after treatment.<sup>18</sup> Another study in which atopic dermatitis cohorts were examined for changes in the microbiota in association with allergic food sensitization found no such relationship.<sup>19</sup> Limitations to the latter set of studies include reliance on bacterial culture methods, limited 16S RNA genotyping approaches, or both for microbiota analysis.<sup>18,19</sup>

In this study we employed a phylotyping approach using high-density 16S ribosomal DNA (rDNA) oligonucleotide microarrays (PhyloChip assay; Second Genome, San Bruno, Calif) and massively parallel pyrosequencing of 16S rDNA amplicons to investigate whether oral allergic sensitization to a dietary antigen is associated with a distinct intestinal microbiota signature. These studies were enabled by the use of a novel mouse model of food allergy that well replicates many of the features of the human disease. Using the same model, we also examined whether therapy with allergen-specific regulatory T (Treg) cells imparts a tolerance-associated signature on the host microbiota.

**METHODS****Animals**

BALB/cTac mice (wild-type [WT] and *Il4ra*<sup>F709</sup> mice) were originally from Taconic Farms (Germantown, NY) and maintained as a separate line in the investigator's colony from which mice were used for the current studies. *C.129.II4ra*<sup>F709/F709</sup> (*Il4ra*<sup>F709</sup>) mice were bred onto the investigator's BALB/cTac line for 11 generations.<sup>20</sup> Foxp3<sup>EGFP</sup> and DO11.10<sup>+</sup>Foxp3<sup>EGFP</sup> mice, both on a BALB/c background, were previously described.<sup>20-22</sup> All mice were kept on an ovalbumin (OVA)-free diet (Harlan 1818SX, Indianapolis, Ind). They were housed together in the same colony in a specific pathogen-free environment and were 8 to 12 weeks old when used for studies. All experiments were carried out in accordance with the Institutional Animal Care and Use Committee policies and procedures of the University of California–Los Angeles and the Boston Children's Hospital.

**Sensitization and challenge protocols**

Studies were conducted on female mice to eliminate confounding effects of sex on the results of the microbiota analyses. In separate experiments there were

no significant differences between male and female *Il4ra*<sup>F709</sup> mice in terms of sensitization and response to allergen challenge. For sensitization, female WT and *Il4ra*<sup>F709</sup> mice were treated intragastrically with sterile PBS or 100 µg of OVA alone or together with 10 µg of staphylococcal enterotoxin B (SEB; Sigma-Aldrich, St Louis, Mo) in 100 µL of sterile PBS (saline) once weekly for 8 weeks. On the ninth week, mice were challenged intragastrically with 5 or 150 mg of OVA in 100 µL of PBS. Anaphylaxis was assessed in challenged mice by measuring changes in body temperature and recording symptom scores. Temperature changes were measured with a rectal temperature probe (RET3) coupled to the Physitemp Thermalert Model TH-5 (Physitemp, Clifton, NJ). After OVA challenge, temperatures were measured every 5 minutes. Symptom scores were determined according to previously detailed criteria.<sup>22</sup>

For studies on flora-reconstituted GF mice, fecal pellets of either OVA/SEB-sensitized WT or *Il4ra*<sup>F709</sup> mice were collected on the eighth week of sensitization. The pellets were dissolved at 1 pellet (20–25 mg) in 100 µL of PBS and administered to GF mice at 200 µL per mouse. The mice were then sensitized with OVA/SEB for 8 weeks and challenged with OVA, as described above.

**Tolerance induction**

CD4<sup>+</sup>DO11.10<sup>+</sup>Foxp3<sup>EGFP</sup> T cells, representing thymus-derived (natural) Treg cells that express the DO11.10 T-cell receptor (TCR), which recognizes the OVA<sub>323–339</sub> peptide in the context of I-A<sup>d</sup>, were isolated by means of cell sorting from DO11.10<sup>+</sup>Foxp3<sup>EGFP</sup> mice. On day 0 of the sensitization protocol, *Il4ra*<sup>F709</sup> mice were given  $5 \times 10^5$  CD4<sup>+</sup>DO11.10<sup>+</sup>Foxp3<sup>EGFP</sup> T cells by means of retro-orbital transfer. The mice were then sensitized intragastrically with 100 µg of OVA in 100 µL of PBS once weekly for 8 weeks. They were challenged on week 9 with 5 mg of OVA in 100 µL of PBS administered intragastrically and monitored for their core body temperature and symptom scores, as described above.

**ELISA for murine mast cell protease 1 and total and OVA-specific IgE**

A murine mast cell protease 1 (mMCP-1) ELISA was performed on serum samples by using a kit (eBioscience, San Jose, Calif). Total and OVA-specific IgE concentrations were measured by using sandwich ELISAs. For total IgE, the capture and biotinylated detection antibodies (rat anti-mouse IgE clones R35-72 and R35-118, respectively) and purified mouse IgE isotype standard antibody (clone C38-2) were from BD Biosciences (San Jose, Calif). For OVA-specific IgE, the plates were coated with 100 µg/mL OVA. The detection antibody was as above, whereas the standard was a purified anti-OVA monoclonal IgE antibody (AbD Serotec, Oxford, United Kingdom).

**Histologic analysis and enumeration of mast cells**

Intestinal mast cells were enumerated by means of microscopic examination of sections of paraffin-embedded jejunal tissues stained with toluidine blue. Mast cells were counted and averaged across 10 high-power fields spanning the entire jejunum.

**PhyloChip sample processing and hybridization**

Fecal pellets were obtained from sham- and allergen-sensitized mice just before allergen challenge. DNA extraction was performed with the UltraClean Fecal extraction kit (Mo Bio, Carlsbad, Calif), according to the manufacturer's instructions. A total of 50 to 100 ng of extracted DNA per sample was used to amplify bacterial 16S rDNA gene sequences by using PCR with the universal primers 27F (5'-AGAGTTTGATCCTGGCTCAG-3') and 1492R (5'-GGTACCTTGTACGACTT-3'). Amplified products were hybridized to G3 PhyloChip arrays (Second Genome), which display 1,016,064 16S rDNA oligonucleotide probes that track microbial population shifts across greater than 59,000 operational taxonomic units (OTUs), each mapped to the Greengenes taxonomy.<sup>23-25</sup> OTUs are defined as organisms that share sequence similarity of their 16S rDNA, which for most OTUs is greater than 99%. The hybridization procedures were as described in the aforementioned references.

## Bioinformatics

Details of the PhyloChip data analyses, including data preprocessing and reduction, construction of sample-to-sample distance functions, ordination, clustering, and classification methods and phylogenetic tree construction and visualization, are detailed in the [Methods](#) section in this article's Online Repository at [www.jacionline.org](http://www.jacionline.org).

## Statistical analysis

Results of allergen challenge studies were analyzed by using 2-way ANOVA. The Adonis test was used for finding significant microbiota differences associated with discrete categorical or continuous variables. Taxa were in some cases filtered to those significantly increased in their ranked HybScore in one category compared with the alternate categories by using the Kruskal-Wallis (KW) test. A *P* value of less than .05 was considered significant. Subgroup analysis for phylogenetic diversity was carried out by using 1-way ANOVA.

## Other methods

Flow cytometric analyses and intracellular cytokine staining, PhyloChip and 16S rDNA pyrosequencing methods, and related statistical analyses are detailed in the [Methods](#) section in this article's Online Repository.

## RESULTS

### Oral allergic sensitization of *Il4raF709* mice is associated with the acquisition of a specific microbiota signature

*Il4raF709* mice carry a gain-of-function mutation in the IL-4 receptor (IL-4R)  $\alpha$  chain that disrupts the binding of the Src homology domain 2-containing protein tyrosine phosphatase 1 (SHP-1) to the receptor subunit and leads to augmented signal transducer and activator of transcription 6 (STAT6) activation by IL-4 and IL-13.<sup>20</sup> *Il4raF709* mice, but not WT control mice, are particularly susceptible to oral sensitization with innocuous food allergens, such as the chicken egg protein OVA, and sensitized mice respond to oral challenge with anaphylaxis that proceeds in a mast cell- and IgE-dependent manner.<sup>22</sup> Mutant *Il4raF709* mice were either sham sensitized with PBS or sensitized with OVA, either alone or mixed with the oral adjuvant SEB, by means of oral gavage once weekly for 8 weeks to determine whether oral allergic sensitization results in a change in the intestinal microbiota.<sup>22,26</sup> As noted previously, OVA- and OVA/SEB-sensitized, but not sham-sensitized, *Il4raF709* mice had anaphylaxis on oral challenge with OVA, as evidenced by the decrease in core body temperature, and onset of diarrhea and tissue edema ([Fig 1, A](#), and data not shown).<sup>22</sup> Anaphylaxis was associated with increased total and OVA-specific serum IgE antibody levels, mast cell expansion in small intestinal tissues, and increased serum concentrations of the mast cell protease mMCP-1 compared with unsensitized *Il4raF709* mice ([Fig 1, B-E](#)). Comparison of OVA- and OVA/SEB-sensitized mice revealed concordant temperature and biomarker responses on OVA challenge but more robust responses with the latter. In contrast, WT mice subjected to the same sensitization protocol with PBS or OVA/SEB did not have anaphylaxis and exhibited marginal or no increases in the aforementioned biomarkers.

Oral tolerance is dependent on adaptive forkhead box protein 3 (Foxp3)-positive Treg cells and is abrogated on their depletion or inhibition.<sup>27,28</sup> Significantly, anaphylaxis was abrogated by the treatment of *Il4raF709* mice with CD4<sup>+</sup>DO11.10<sup>+</sup>Foxp3<sup>EGFP+</sup> Treg cells, which harbor a bicistronic *Foxp3*<sup>EGFP</sup> allele and express the OVA<sub>323-339</sub> peptide-specific TCR DO11.10. The

core temperature decrease and the small intestinal tissue edema pursuant to the OVA challenge were prevented ([Fig 1, A and E](#)). Treg cell treatment also suppressed total and OVA-specific IgE production, mast cell expansion and tissue infiltration, and mMCP-1 release ([Fig 1, B-E](#)).

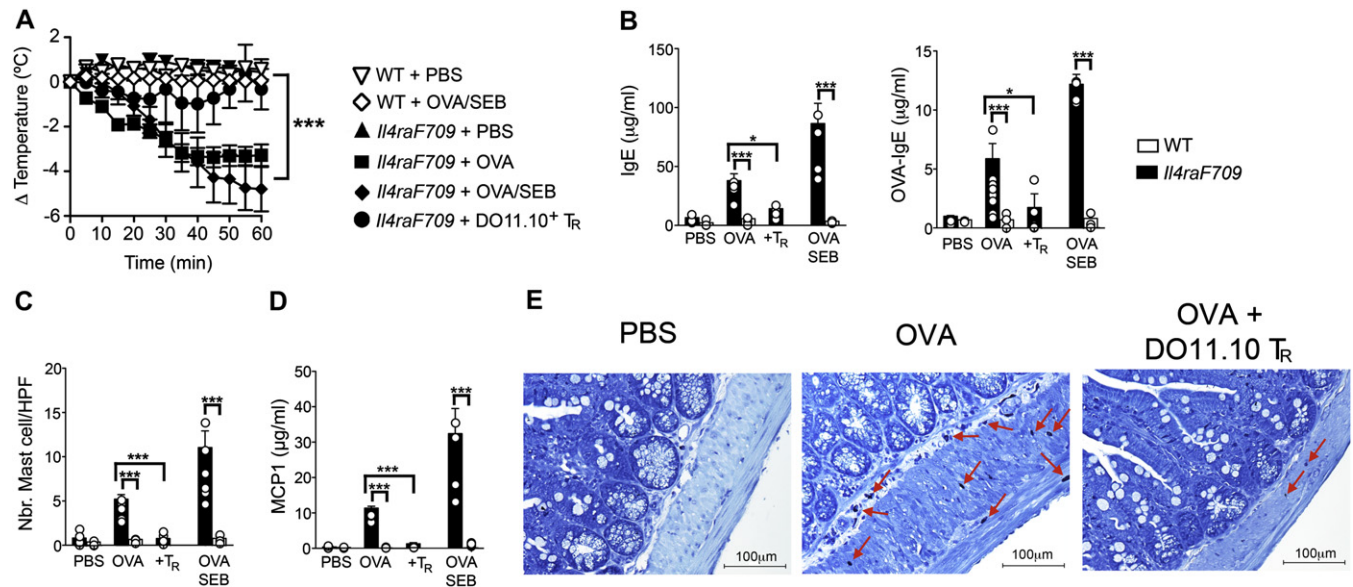
To determine the effect of oral sensitization on the intestinal microbiota, we analyzed the relative abundance of bacterial phyla in fecal pellets of the different mouse groups by using PhyloChip 16S rDNA oligonucleotide microarrays ([Fig 2, A](#)). The microbiota of OVA-sensitized *Il4raF709* mice (including the OVA/SEB group and the combination of OVA+OVA/SEB-sensitized groups), but not that of sensitized WT mice, exhibited a significant decrease in the relative abundance of the Firmicutes family Erysipelotrichi/Erysipelotrichales/Erysipelotrichaceae compared with that seen in sham-sensitized mice ([Fig 2, B](#)). They also exhibited a significant increase in the relative abundance of the Proteobacteria family Gammaproteobacteria, Enterobacteriales, and Enterobacteriaceae ([Fig 2, C](#)).

Analysis of fecal pellets collected at the end of the sensitization period revealed that the intestinal microbiota of OVA- and OVA/SEB-sensitized *Il4raF709* mice were not significantly different when directly compared by using the presence/absence of taxa and abundance of metric criteria (Adonis *P* = .594 and .361, respectively). Also, the 97 taxa found to be differentially abundant between the 2 groups, as determined by using the KW test, could not partition the samples into distinct groups (data not shown). Accordingly, we combined the 2 groups in many of our subsequent statistical analyses.

Direct comparison of the intestinal microbiota of the combination of OVA- and OVA/SEB-sensitized *Il4raF709* mice with that of sham (PBS)-sensitized *Il4raF709* mice by using the presence/absence of taxa criterion revealed a significant difference in microbial profiles (Adonis *P* = .042 for the OVA plus OVA/SEB vs PBS groups). Of a total of 3422 OTUs found to be present in at least 1 of the samples, 251 were identified by using the KW filter to have significantly different abundances between the OVA+OVA/SEB versus PBS groups. We used 2 ordination methods, nonmetric multidimensional scaling (NMDS) and hierarchical clustering-average-neighbor (HC-AN) analyses, which examine relationships between ecologic communities, such as those of the microbial flora, to determine whether those OTUs identified by using the KW filter discriminate between sham- and allergen-sensitized *Il4raF709* mice.<sup>29,30</sup> Results revealed that the identified taxa successfully partitioned the mice into 2 distinct groups, with only 1 of 9 OVA plus OVA/SEB-sensitized mice clustering with the sham-sensitized mice ([Fig 3, A and B](#)). Investigation of significant taxa the abundance of which best discriminates between sham- and allergen-sensitized *Il4raF709* mice was carried out by using Prediction Analysis for Microarrays (PAM), an algorithm that uses a nearest shrunken centroid method to determine those OTUs most characteristic of a community.<sup>31</sup> Results identified 10 OTUs, mainly Bacteroidetes, that discriminated between the 2 categories, including several expanded Porphyromonadaceae, Barnesiella, and Rikenellaceae species ([Fig 3, C and D](#), and see [Table E1](#) in this article's Online Repository at [www.jacionline.org](http://www.jacionline.org)).

The alterations in the microbiota affected by oral allergen sensitization were further visualized by using a circular phylogenetic tree that displayed 45 differentially abundant OTUs, representing the 41 bacterial families covered by the 251 taxa that passed the KW filter. The abundance of the represented OTUs among the different samples was revealed by means of a heat map that ranges





**FIG 1.** Oral sensitization and anaphylaxis in *Il4raF709* mice: prevention of oral sensitization by antigen-specific Treg cells. **A**, *Il4raF709* and WT BALB/c control mice were either sham sensitized with PBS or sensitized with OVA (100  $\mu$ g) or OVA/SEB (100  $\mu$ g/10  $\mu$ g) by means of gastric gavage once weekly for 8 weeks. Select groups of mice were administered intravenously at the start of the sensitization protocol (day 0), either  $5 \times 10^5$  cells of DO11.10<sup>+</sup> Treg (T<sub>R</sub>) cells isolated from DO11.10<sup>+</sup> Foxp3<sup>EGFP</sup> mice or PBS. At the end of the sensitization period, mice were challenged with 5 mg of OVA by means of gastric gavage and monitored for rectal temperature changes. **B**, Total (left) and OVA-specific (right) serum IgE levels measured after OVA challenge. **C**, Enumeration of mast cell infiltration of small intestinal tissues of sensitized mice. **D**, Serum mMCP-1 levels in mice after OVA challenge. **E**, Small intestinal histopathology of sham (PBS)-sensitized, OVA-sensitized, and OVA-sensitized/DO11.10<sup>+</sup> Treg cell-treated mice (toluidine blue staining; original magnification  $\times 200$ ). Results represent data on 5 to 10 mice per group derived from 2 independent experiments. \* $P < .05$  and \*\*\* $P < .001$ , 2-way ANOVA.

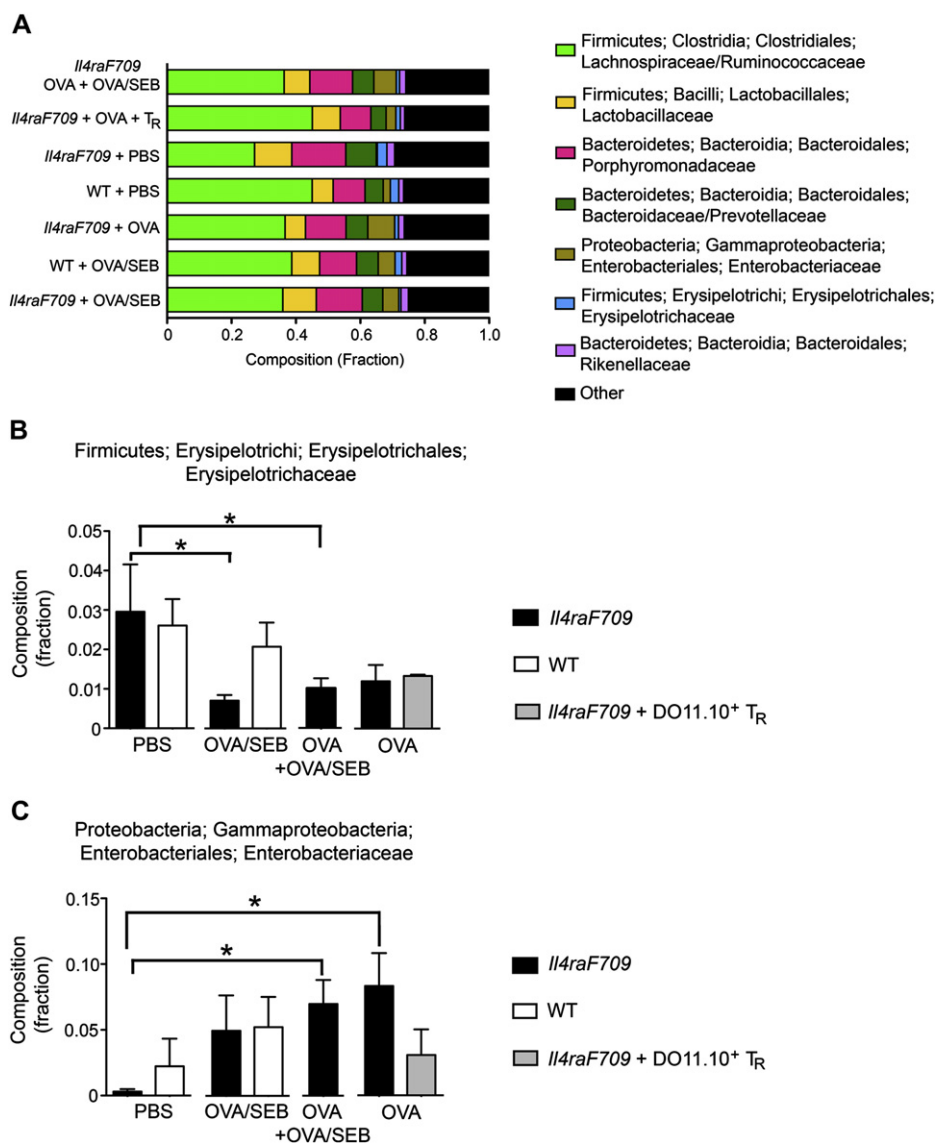
from blue (decreased) to red (increased). There was a broad decrease in the abundance of a majority of the selected OTUs, especially those of the Lachnospiraceae families, in allergen-compared with sham-sensitized *Il4raF709* mice, although there were notable exceptions, such as Lactobacillaceae, Porphyromonadaceae, and some Rikenellaceae and Lachnospiraceae species (Fig 4 and see Table E2 in this article's Online Repository at [www.jacionline.org](http://www.jacionline.org)). Overall, the results shown in Figs 3 and 4 confirmed that the establishment of oral allergic sensitization in the *Il4raF709* mice is associated with dysbiosis of the intestinal flora.

To confirm the results obtained with the PhyloChip analysis, we used direct, highly parallel pyrosequencing of 16S rDNA amplicons derived from fecal samples of separate independent cohorts of sham- and OVA-sensitized *Il4raF709* mice. Results revealed that the microbial communities were significantly different between the 2 groups of mice (see Fig E1 in this article's Online Repository at [www.jacionline.org](http://www.jacionline.org)). With the caveat that the 16S rDNA pyrosequencing method had different depth of coverage and other technical differences compared with the PhyloChip analysis, several families and genera were also found by using the former method to differentiate between the 2 groups, including OTUs classifying to the genera *Clostridium*, *Bacteroides*, *Alistipes*, and *Streptococcus* (see Table E3 in this article's Online Repository at [www.jacionline.org](http://www.jacionline.org)).

### Allergen-specific Treg cell therapy induces a tolerance-associated microbiota signature

We next examined whether the induction of a food allergy-associated microbiota signature can be suppressed by enforced

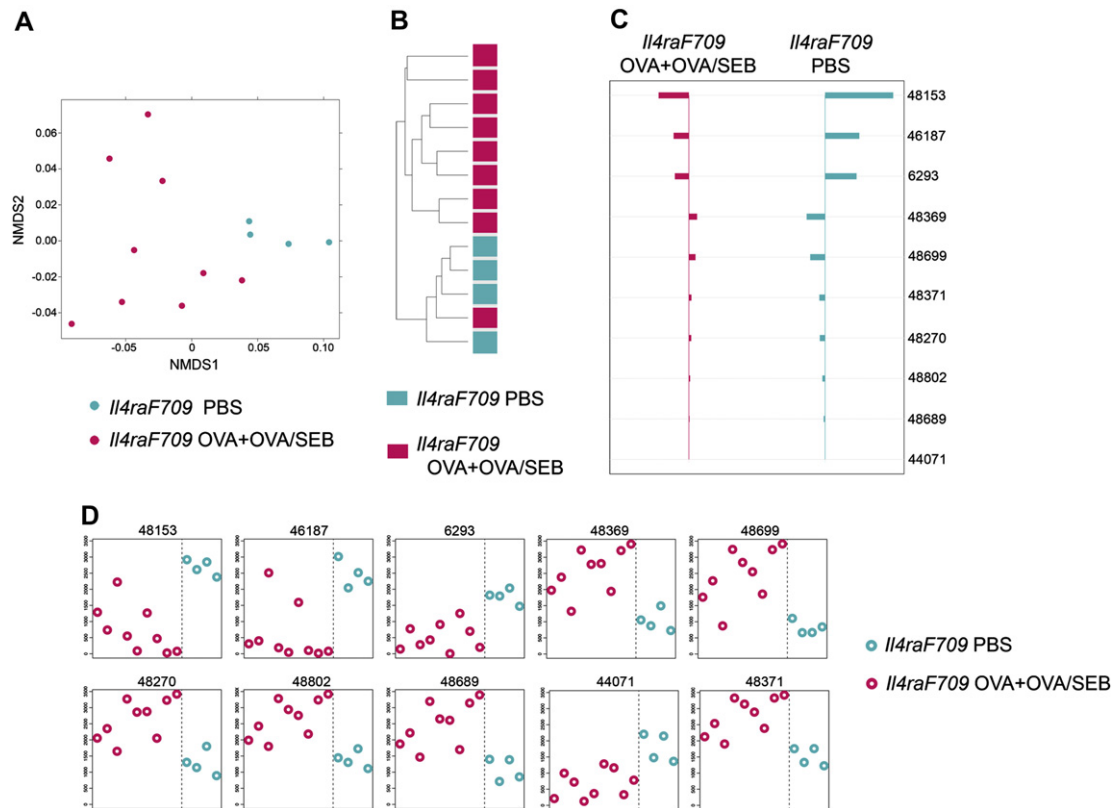
tolerance with allergen-specific Treg cells. Accordingly, we compared the intestinal microbiota of *Il4raF709* mice that have been sensitized with OVA or OVA/SEB with those of similarly sensitized mice that have been intravenously administered Treg cells bearing the DO11.10 TCR specific for the immunodominant OVA peptide 323-339 (OVA<sub>323-339</sub>). Therapy with Treg cells suppressed allergen sensitization and prevented the clinical, histologic, and serologic correlates of anaphylaxis (Fig 1). In contrast, therapy with CD4<sup>+</sup>DO11.10<sup>+</sup>Foxp3<sup>EGFP</sup> cells or with CD4<sup>+</sup>DO11.10<sup>+</sup> cells that express a nonfunctional Foxp3 mutant protein did not induce tolerance (Noval Rivas et al, manuscript in preparation). Direct comparison of the microbiota of the 2 categories revealed that the intestinal microbiota of DO11.10<sup>+</sup> Treg cell-treated and OVA-sensitized mice were significantly different in the abundance metrics from those of sham (PBS)-treated, OVA-sensitized *Il4raF709* mice (Adonis  $P = .007$ ). Analysis of those taxa passing the KW test for differences between the 2 categories identified 627 taxa to have different abundance levels. NMDS with these taxa shows relatively tight clustering of Treg cell-suppressed samples and a broader variation in the samples of the OVA- and OVA/SEB-sensitized but Treg cell-untreated samples. HC-AN with these KW-filtered OTUs showed complete segregation of the OVA- and OVA/SEB-sensitized samples from the Treg cell-suppressed samples (Fig 5, A and B). PAM analysis identified 10 OTUs that discriminate between the 2 groups: 8 members of the Firmicutes Lachnospiraceae family that are increased on tolerance induction and 2 in the Bacteroidetes Porphyromonadaceae family that are decreased on tolerance induction (Fig 5, C and D, and see Table E4 in this article's Online Repository at [www.jacionline.org](http://www.jacionline.org)).



**FIG 2.** Effect of allergen sensitization on phylogenetic diversity. **A**, Relative abundance of the different microbial phyla in fecal samples of WT and *Il4raF709* mice that were either sham sensitized with PBS or sensitized with OVA or OVA/SEB. The combined profile of OVA- and OVA/SEB-sensitized *Il4raF709* mice is also shown, as is that of OVA-sensitized *Il4raF709* mice that have been treated with allergen-specific Treg cells. **B**, Relative abundance of the *Firmicutes* *Erysipelotrichi*/*Erysipelotrichales*/*Erysipelotrichaceae* family in the different groups. **C**, Relative abundance of the *Proteobacteria*/*Gammaproteobacteria*/*Enterobacteriales*/*Enterobacteriaceae* family in the different groups. Results represent 4 to 6 mice per group. \**P* < .05, 1-way ANOVA with posttest analysis.

A global representation of the effects of Treg cell therapy on the microbiota of allergen-sensitized mice was provided by a phylogenetic tree representation of 86 differentially abundant OTUs, representing the 78 bacterial families covered by the 627 taxa that passed the KW filter (Fig 6). There was a broad resetting by means of Treg cell therapy of the changes in the microbiota induced by allergen sensitization, with reversal of the shifts in the abundance of several *Firmicutes* *Lachnospiraceae* and *Bacteroidetes* *Porphyromonadaceae* and *Rikenellaceae* families induced on sensitization (see Table E5 in this article's Online Repository at [www.jacionline.org](http://www.jacionline.org)). However, although Treg cell therapy altered the microbiota of allergen-sensitized mice, it did not shift it back to baseline. Direct comparison of the

microbiota of tolerized and sham-treated *Il4raF709* mice revealed the 2 categories to be significantly different both in incidence and abundance (Adonis *P* = .013 and .011, respectively). KW filtering identified 786 taxa that had significantly different abundance levels in samples from sham-sensitized compared with tolerized mice and that completely segregated the 2 groups of samples into separate clusters (Fig E2, A and B, in this article's Online Repository at [www.jacionline.org](http://www.jacionline.org)). PAM analysis identified 10 OTUs that best segregated the 2 groups, most from the *Firmicutes* *Lachnospiraceae* family (see Fig E2, C and D, and Table E6 in this article's Online Repository at [www.jacionline.org](http://www.jacionline.org)). Overall, these results established that enforcement of oral tolerance by means of Treg cell therapy inhibited the emergence of



**FIG 3.** Allergen sensitization of *Il4raF709* mice is associated with a microbiotic signature. **A**, NMDS based on weighted UniFrac distance between samples of sham-sensitized versus OVA- and OVA/SEB-sensitized mice performed on the 251 taxa the abundance of which was significantly different between groups (sham versus allergen sensitized) by using the KW test. **B**, Hierarchical clustering (average linkage) based on weighted UniFrac distance between samples given abundance of 251 taxa with significant abundance differences across at least 1 of the categories. **C**, Nearest shrunken centroid analysis of OTUs that best characterize the difference between the sham- versus allergen-sensitized groups. The direction of the horizontal bars reflects either overrepresentation or underrepresentation of the indicated OTUs (left- and right-sided bars, respectively). The length of the bar represents the magnitude of the effect. **D**, Representation of the OTUs identified by the nearest shrunken centroid analysis using the PAM method. Nine mice were used for the OVA+OVA/SEB group ( $n = 5$  and  $4$  mice, respectively), and  $5$  mice were used for the PBS group.

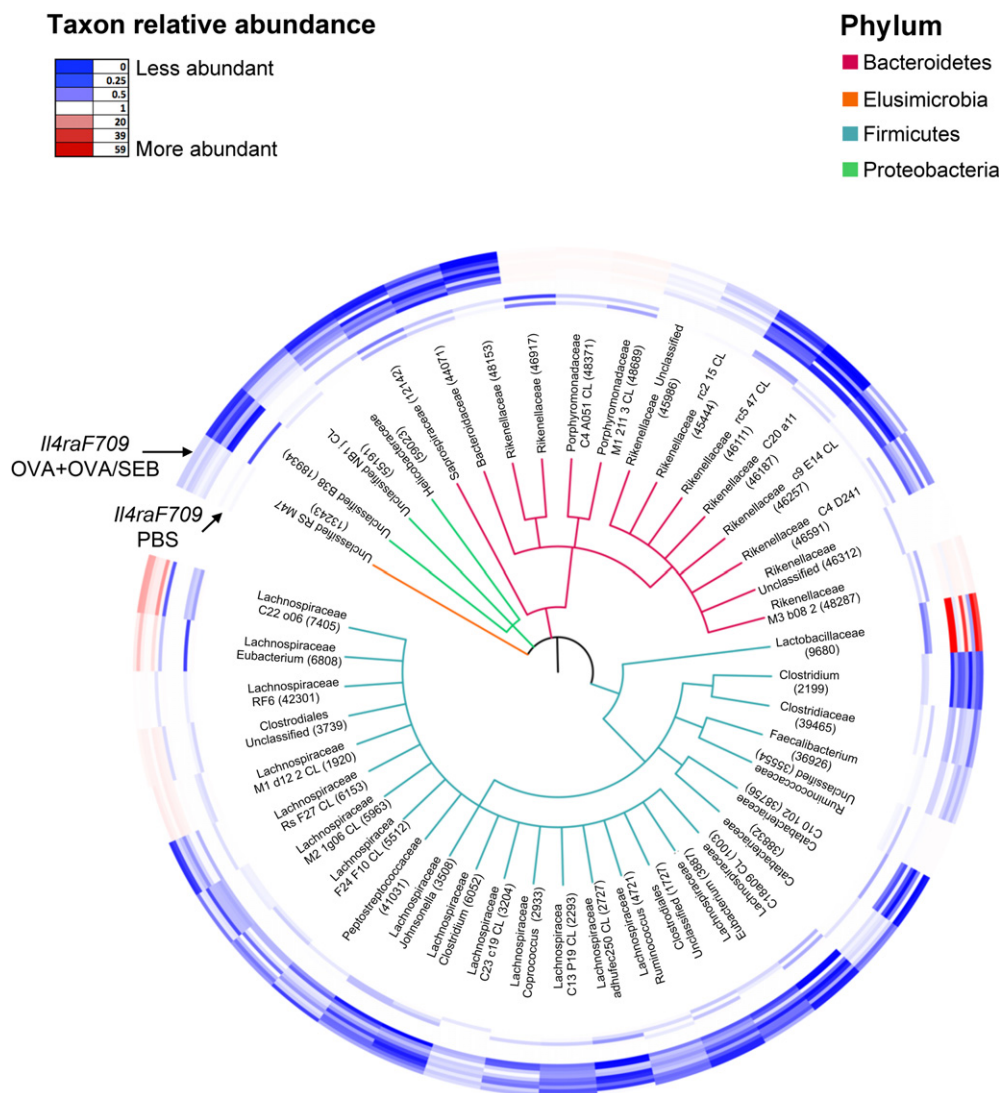
the microbiota signature associated with experimental food allergy.

### The microbiota changes of *Il4raF709* and WT mice are nonoverlapping

Oral sensitization of WT BALB/c mice with OVA/SEB does not induce appreciable IgE responses and systemic anaphylaxis on oral challenge with OVA.<sup>22</sup> We set out to determine whether oral allergen sensitization of WT was associated with changes in the microbiota. Direct comparison of the microbiota of OVA/SEB-sensitized versus sham-sensitized WT mice did not reveal statistically significant differences between the 2 groups (data not shown). To determine whether the dysbiosis observed with allergen-sensitized *Il4raF709* mice was distinct from that of WT mice, we first compared the microbiota of sham-sensitized *Il4raF709* and WT mice. Direct comparison identified the microbiota of the respective category to be significantly different both in the incidence and abundance metrics (Adonis  $P = .031$  and  $.032$ , respectively; see Fig E3 and Table E7 in this article's Online Repository at [www.jacionline.org](http://www.jacionline.org)). In a separate analysis direct, highly parallel pyrosequencing was performed

on 16S rDNA amplicons from fecal samples of otherwise unmanipulated WT and *Il4raF709* littermate mice that were derived from matings of *Il4raF709* heterozygous parents and sharing the same cages. The results confirmed that the microbial communities of the respective group were significantly different (see Fig E4 in this article's Online Repository at [www.jacionline.org](http://www.jacionline.org)).

We then compared the intestinal microbiota of OVA/SEB-sensitized *Il4raF709* mice with those of similarly sensitized WT mice. NMDS and HC-AN analysis of 352 taxa identified by the KW filter to have differential abundance in OVA/SEB-sensitized *Il4raF709* versus WT mice completely segregated the samples into 2 distinct groups (Fig 7, A and B). Of the 10 taxa found by using PAM to best discriminate between the 2 groups, 9 were increased in *Il4raF709* mice (Ruminococcaceae, Rikenellaceae, and Porphyromonadaceae species; Fig 7, C and D, and see Table E8 in this article's Online Repository at [www.jacionline.org](http://www.jacionline.org)). These results were validated by using direct, highly parallel pyrosequencing of 16S rDNA amplicons derived from fecal samples of independent cohorts of OVA-sensitized WT and *Il4raF709* mice (see Fig E5 and Table E9 in this article's Online Repository at [www.jacionline.org](http://www.jacionline.org)).



**FIG 4.** Phylogenetic tree based on 16S rDNA gene sequences of bacterial families with taxa showing significantly different abundances between the sham- and allergen-sensitized *Il4raF709* mice shown in Fig 3. Of the 3422 OTUs found present in at least 1 of the samples, 251 OTUs within 41 families were identified by using the KW test to be differentially abundant between the 2 groups. For each family, 1 OTU with the greatest difference between the 2 group means was selected. For the 4 families that contained OTUs with both higher and lower abundance scores, both OTUs were selected. A representative 16S rDNA gene from each of the 45 OTUs was aligned and used to infer a phylogenetic tree. The rings around the tree comprise a heat map in which the inner ring includes the sham-treated and the outer ring includes the OVA+OVA/SEB-treated samples. The color saturation indicates the degree of difference from the mean value of the sham-treated samples, where *dark blue* indicates a ratio of 0 (least abundant), *white* indicates a ratio of 1.0 (equivalent abundance), and *dark red* indicates a ratio of 59 (most abundant).

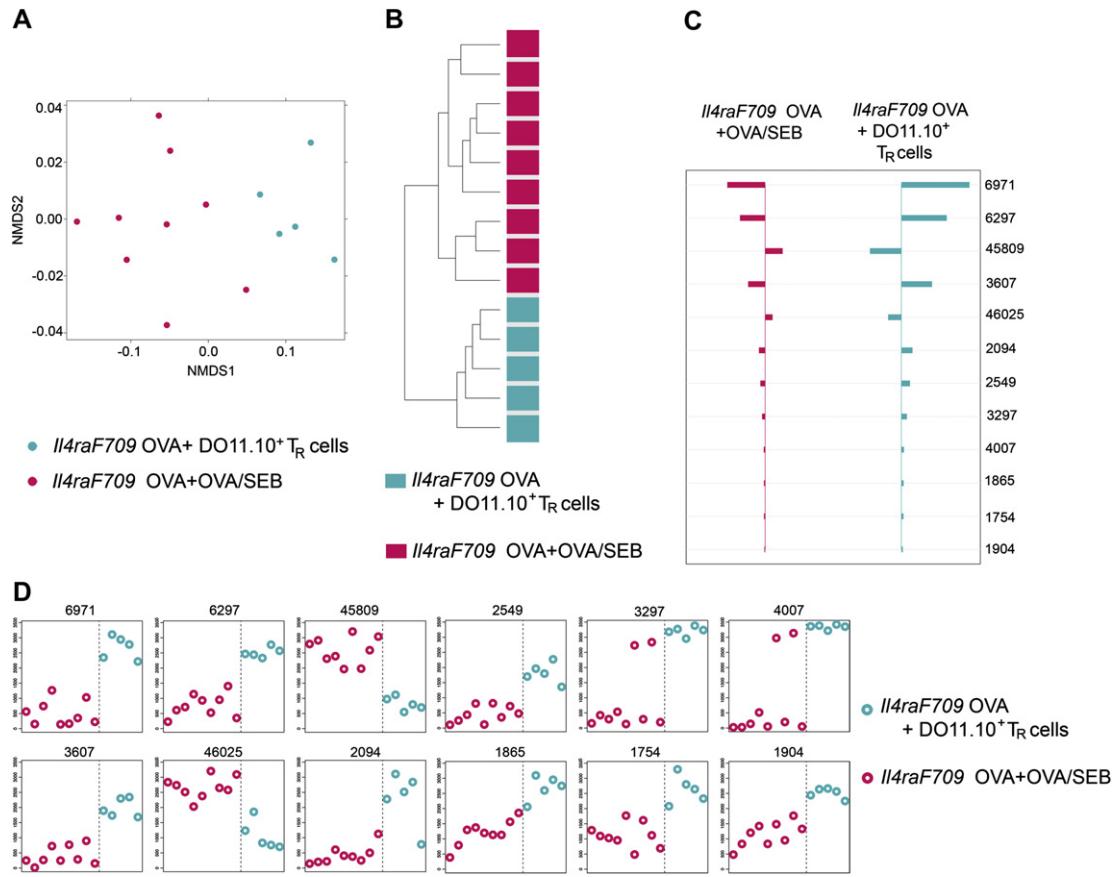
We further examined the overlap of those taxa that were specifically increased, decreased, or unchanged on OVA/SEB sensitization of *Il4raF709* and WT mice relative to sham-sensitized, genotype-matched mice. Results revealed that there was very little overlap in the microbiota change in sensitized *Il4raF709* versus WT mice (Fig 7, E and F). Finally, similar trends were obtained when the combined data from the OVA-sensitized and OVA/SEB-sensitized *Il4raF709* mice were compared with those of OVA/SEB-sensitized WT mice (see Fig E6 in this article's Online Repository at [www.jacionline.org](http://www.jacionline.org)). Overall, these results established that successful oral allergic sensitization in the *Il4raF709* mutant mice was associated with a specific microbial

signature that was nonoverlapping with changes in the microbiota of similarly treated WT mice, which are otherwise nonresponsive to sensitization.

### The microbiota of food allergic *Il4raF709* mice transmit susceptibility to food allergy

To explore the functional implications of the changed microbial flora in experimental food allergy, we reconstituted WT GF mice with flora derived from either OVA-sensitized WT or *Il4raF709* mice. Flora-replete WT mice were then sensitized with OVA/SEB for 8 weeks and challenged with OVA. 16S rDNA





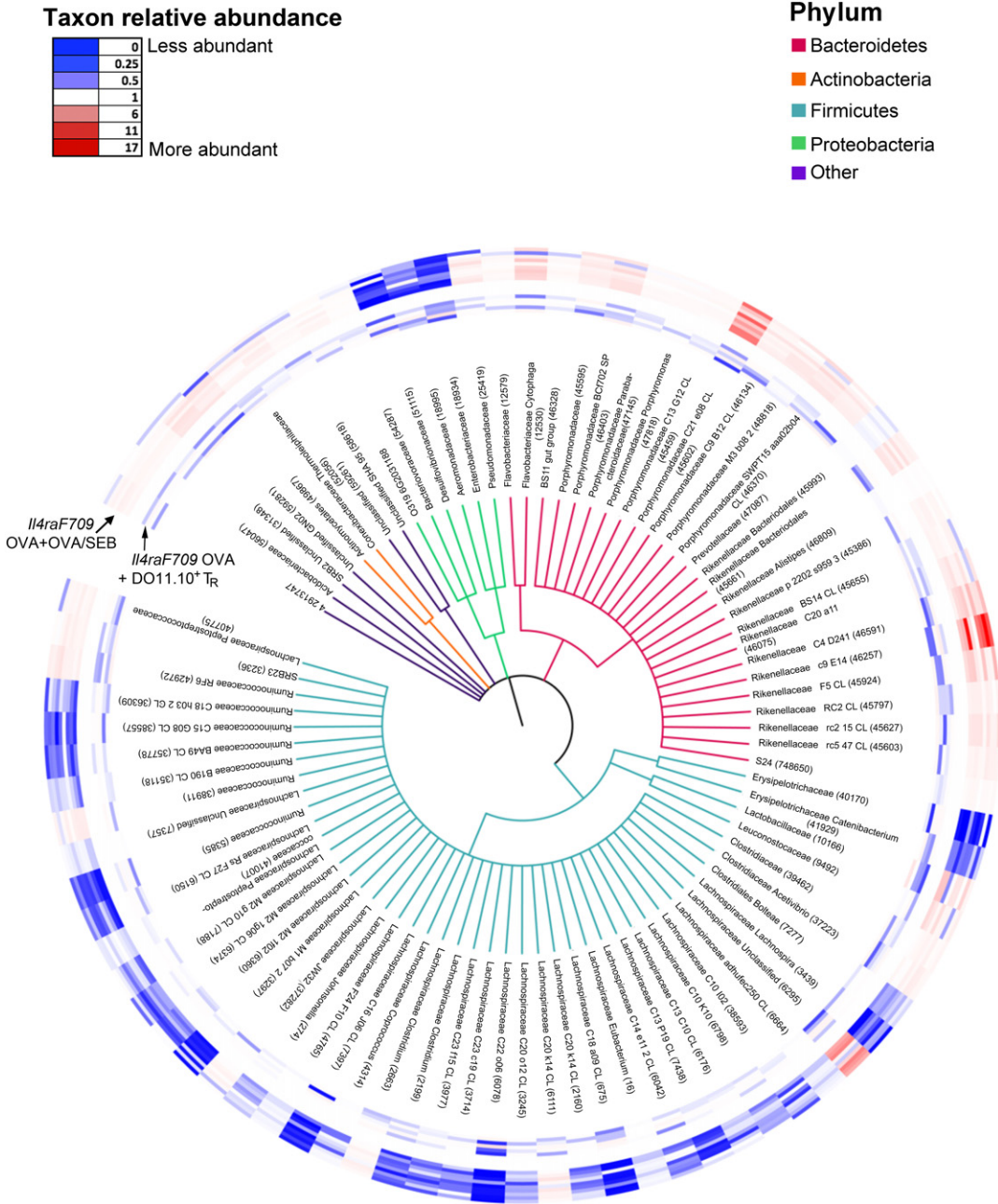
**FIG 5.** Enforced tolerance with allergen-specific Treg cells resets the microbiota of allergen-sensitized *Il4raF709* mice. **A**, NMDS based on weighted UniFrac distance between samples of OVA- and OVA/SEB-sensitized mice ( $n = 9$ ) versus those of Treg cell-treated, OVA-sensitized mice ( $n = 5$ ) based on the 627 taxa with significantly different abundance between groups (sham versus allergen sensitized) by using the KW test. **B**, Hierarchical clustering (average linkage) based on weighted UniFrac distance between samples given abundance of 627 taxa with significant abundance differences across at least 1 of the categories. **C**, Nearest shrunken centroid analysis of OTUs that best characterize the difference between allergen-sensitized versus tolerant groups. **D**, Representation of the abundance of the OTUs identified by the nearest shrunken centroid analysis using the PAM method.

pyrosequencing analysis revealed the microbiota of the flora-replete mice segregated in accordance with the source of the input microbiota (fecal samples of OVA-sensitized WT vs *Il4raF709* mice; Fig 8, A). Results revealed that the WT GF mice that were reconstituted with flora of OVA-sensitized *Il4raF709* mice but not that of OVA-sensitized WT mice underwent anaphylaxis on challenge with a heightened oral dose of OVA (150 mg), as evidenced by a decrease in core body temperature and increase in serum mMCP-1 concentrations (Fig 8, B and C). Analysis of total and OVA-specific IgE responses revealed that although the total IgE levels were not significantly different between the 2 groups, the OVA-specific IgE response was significantly higher in those mice reconstituted with flora from OVA-sensitized *Il4raF709* mice (Fig 8, D). Further analysis revealed that the microbiota of the mutant mice, but not those of the WT control animals, elicited T<sub>H</sub>2 skewing of the OVA-responsive CD4 T cells isolated from the mesenteric lymph nodes (Fig 8, E and F). These results indicate that the dysbiosis in the OVA-sensitized *Il4raF709* mice promoted allergen-specific IgE responses and systemic anaphylaxis, which is consistent with a pathogenic function of the microbiota of allergen-sensitized *Il4raF709* mice in fostering disease.

## DISCUSSION

The studies reported herein identified several novel features of the microbiota in food allergy. First, the microbial communities of food allergy-prone *Il4raF709* mice were distinct at baseline from those of congenic but food allergy-resistant WT control mice, which is consistent with the sculpting of the gut microbiota by a genetically driven T<sub>H</sub>2 environment. Allergic sensitization of the *Il4raF709* mutant resulted in the further emergence of a food allergy-associated microbiota signature that was virtually nonoverlapping with the changes in the microbiota of similarly sensitized WT mice that otherwise did not have disease. Furthermore, tolerance enforcement by means of treatment with allergen-specific Treg cells prevented the development of the food allergy-associated microbiota signature in *Il4raF709* mice and resulted instead in the emergence of a distinct tolerance-associated signature. Finally, the functional relevance of food allergy-associated dysbiosis was revealed by the differential capacity of the flora of allergen-sensitized *Il4raF709* mice, but not those of sensitization-resistant WT mice, to upregulate OVA-specific T<sub>H</sub>2 and IgE responses and to promote anaphylaxis when reconstituted in GF WT mice. Thus allergic dysbiosis



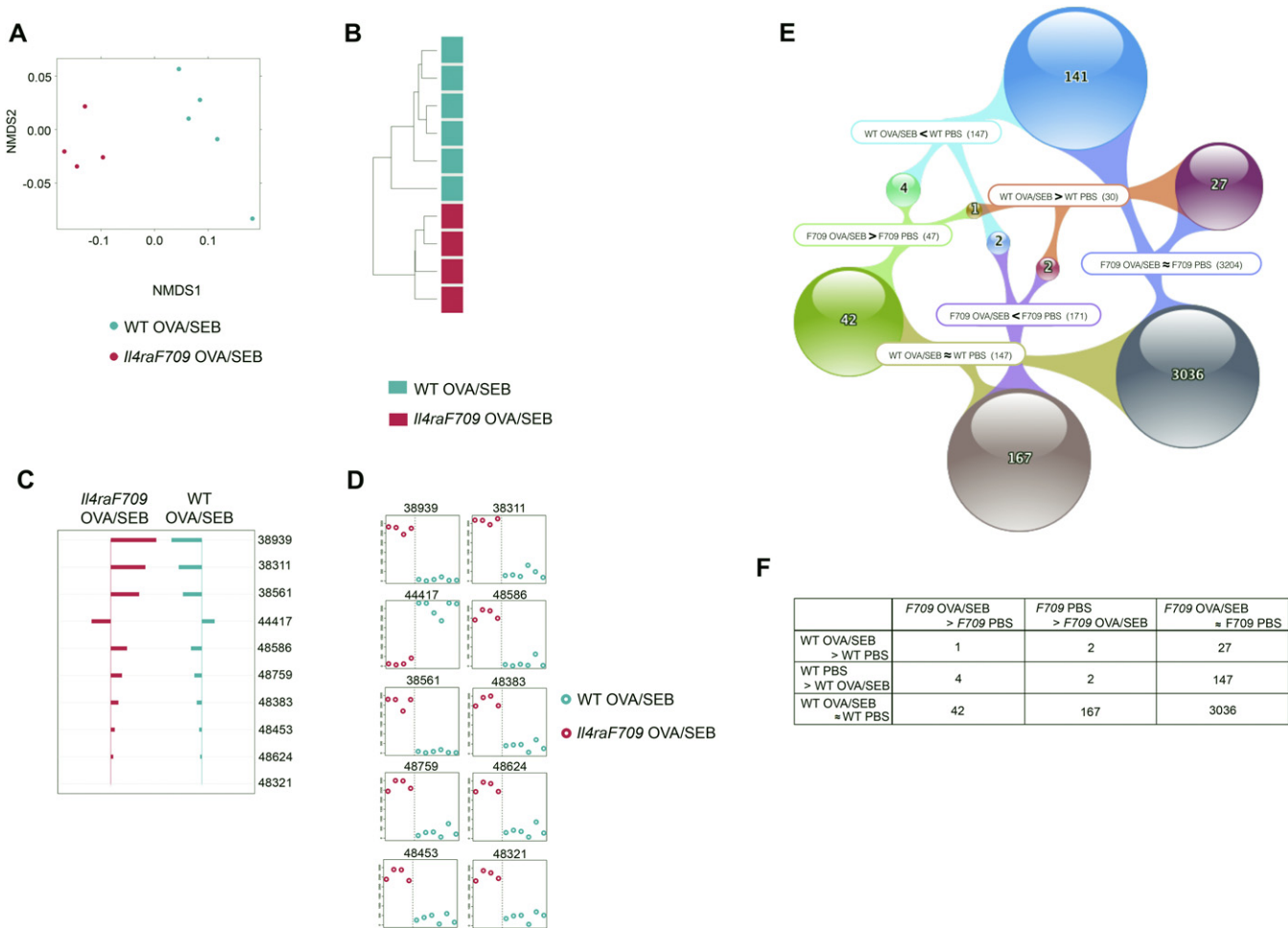


**FIG 6.** Phylogenetic tree based on 16S rDNA gene sequences of bacterial families with taxa showing significantly different abundances between allergen (OVA+OVA/SEB)-sensitized *Il4raF709* mice and Treg cell-treated OVA-sensitized *Il4raF709* mice shown in Fig 5. KW analysis identified 627 OTUs within 78 families that have significantly different abundance between the comparison groups. One OTU with the greatest difference between the 2 group means from each family was selected. For the 8 families that contained OTUs with both higher and lower abundance scores, both OTUs were selected. The rings around the tree comprise a heat map in which the inner rings represent the samples of tolerized mice and the outer rings represent those of allergen-sensitized mice. The color saturation indicates the degree of difference from the mean value of the sham-treated samples, where *dark blue* indicates a ratio of 0 (least abundant), *white* indicates a ratio of 1.0 (equivalent abundance), and *dark red* indicates a ratio of 17 (most abundant).

provides one mechanism contributing to the pathogenesis of food allergy.

The pathways involved in directing the changes in the microbiota of the food allergy-prone *Il4raF709* mice remain unknown. However, it can be inferred that the altered microbial ecology in *Il4raF709* mice, both at baseline and on sensitization, is related to

the augmented signaling along the IL-4R $\alpha$ -STAT6 axis induced by the F709 substitution.<sup>20</sup> Enhanced STAT6 signaling can act through any one of several putative mechanisms to alter the microbiota, including alterations in epithelial-commensal flora interactions in the profile of defensins expressed in the gut (which in turn reflects on the microbiota profile), alterations in other



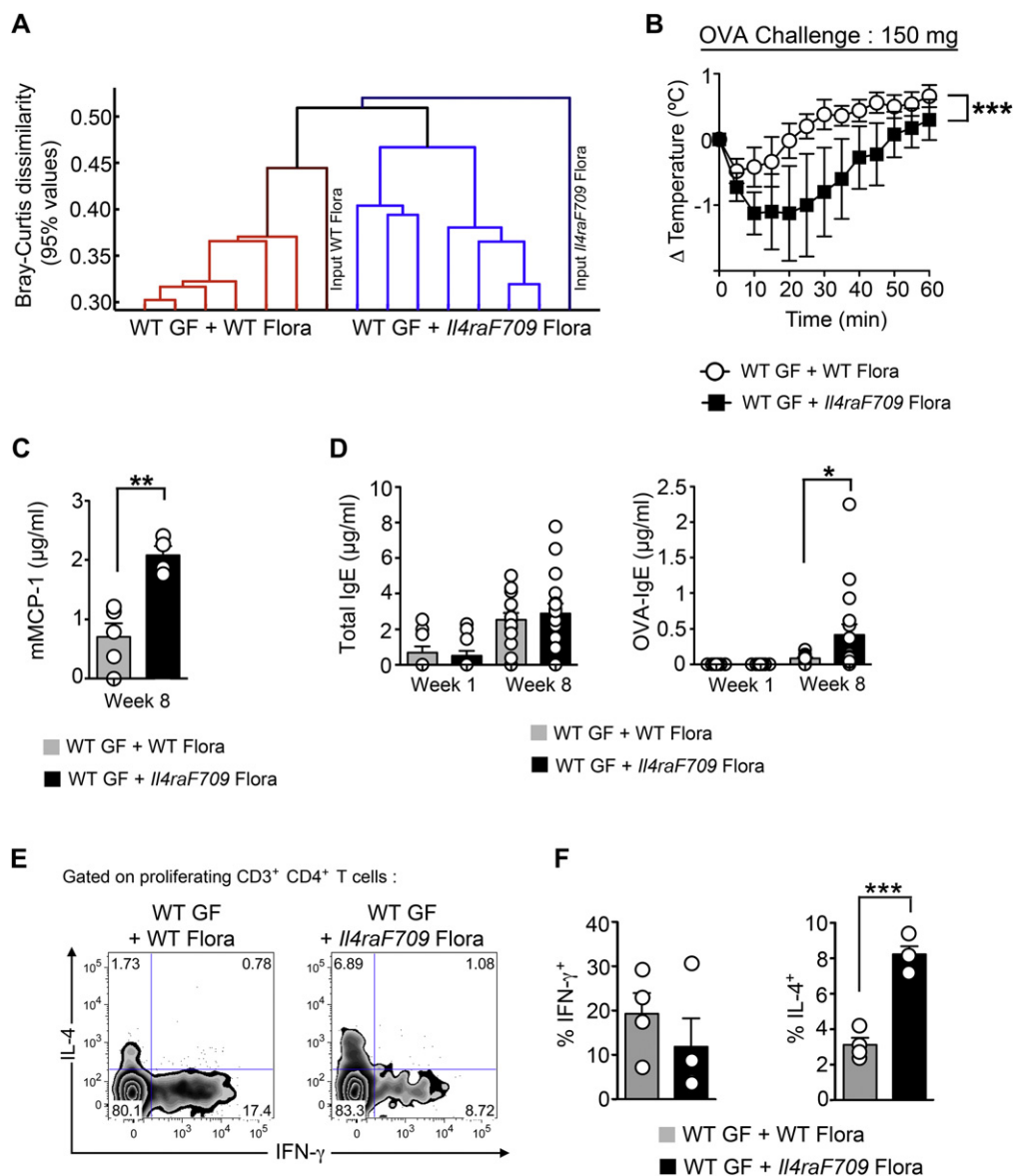
**FIG 7.** The microbiota signatures of allergen-sensitized *Il4raF709* and WT mice are distinct. **A**, NMDS based on weighted UniFrac distance between samples of OVA/SEB-sensitized WT versus OVA/SEB-sensitized *Il4raF709* mice based on the 352 taxa with significantly different abundance between groups by using the KW test. **B**, Hierarchical clustering based on weighted UniFrac distance between samples. **C**, Nearest shrunken centroid analysis of OTUs that best characterize the difference between the groups. **D**, Representation of the abundance of the OTUs identified by using the nearest shrunken centroid analysis with the PAM method. **E**, Venn diagram showing the abundance levels of different OTUs in relation to the sensitization state of WT and *Il4raF709* mice. The labels define the abundance states of sets of OTUs in relation to specific comparison groups, such as F709 OVA/SEB<F709 PBS, which identifies those OTUs that are less abundant in allergen-sensitized (OVA/SEB) *Il4raF709* mice compared with sham (PBS)-sensitized mice. The number of OTUs thus identified is indicated in parentheses. Spheres indicate intersections between 2 sets, and the colored webs show which intersection of sets form the spheres. **F**, Contingency table representation of the results shown in the Venn diagram.  $P < .0001$  by using the  $\chi^2$  test (excluding the 3036 OTUs that did not change on sensitization in both WT and *Il4raF709* mice). Six mice for the WT OVA/SEB group versus 4 mice for the *Il4raF709* OVA/SEB group.

components of the innate and adaptive immune responses relevant to the microbial ecology, or both. Augmented *Il4raF709*–STAT6 signaling can also effect the gut microbiota by augmenting the expression of resistin-like  $\beta$  (*Retnlb*), which is expressed under basal conditions in gut goblet cells in a microbial flora-dependent manner and the expression of which is also induced by  $T_H2$  signaling.<sup>32</sup> Our previous studies have documented the exaggerated upregulation of *Retnlb* in the lungs of *Il4raF709* mice undergoing paradigms of allergic airway inflammation.<sup>20</sup>

An interesting observation that emerges from these studies is the relationship of tolerance induction to the composition of the microbiota. Enforced tolerance resets the microbiota to a new signature distinct from those of both sham- and OVA-sensitized

*Il4raF709* mice. Significantly, it could be demonstrated that unlike WT mice, *Il4raF709* mice exhibit defective formation and function of OVA-specific Foxp3<sup>+</sup> iTreg cells on OVA sensitization (Noval Rivas et al, manuscript in preparation). Thus the loss of regulatory circuits restraining the  $T_H2$  response in the gut might underlie the shifts in the microbial flora both at baseline and on OVA sensitization of the food allergy-prone *Il4raF709* mice.

The full mechanism or mechanisms by which the microbiota of allergen-sensitized *Il4raF709* mice contribute to disease pathogenesis remain to be determined, but previous studies provide insights into how such changes might promote disease. Components of the microbiota have been demonstrated to directly affect



**FIG 8.** The gut microbiota of allergen-sensitized *Il4raF709* mice promote allergen-specific responses and anaphylaxis. **A**, Agglomerative clustering of donor and recipient stool samples based on 16S OTUs derived from 16S pyrosequencing data. The y-axis represents Bray-Curtis dissimilarity values computed on the relative abundances of OTUs in each sample. A Bray-Curtis value of 0 indicates identical microbial communities, and a Bray-Curtis value of 1 indicates communities with no overlapping OTUs. Heights of lines on the dendrogram indicate Bray-Curtis values at the 95th percentile. **B**, Core body temperature changes in flora-reconstituted, OVA/SEB-sensitized WT GF mice challenged with 150 mg of OVA. The mice were reconstituted with flora from OVA/SEB-sensitized *Il4raF709* or WT BALB/c mice and then sensitized with OVA/SEB and challenged with OVA. Conventional sham- and OVA/SEB-sensitized *Il4raF709* mice were used as control animals. **C**, Serum mMCP-1 levels in flora-reconstituted mice after OVA challenge. **D**, Total and OVA-specific IgE antibody responses in flora-reconstituted mice. **E**, Representative flow cytometric analysis of OVA-specific mesenteric CD4<sup>+</sup> T cells of flora-reconstituted GF mice producing IL-4 and IFN-γ. **F**, Enumeration of the percentages of cytokine-producing T cells shown in Fig 8, E. Results represent data from 6 to 12 flora-reconstituted WT GF mice per group derived from 2 independent experiments. \**P* < .05, \*\**P* < .005, \*\*\**P* < .001, 1- and 2-way ANOVA with posttest analysis.

the differentiation, function, or both of Treg and effector T cells.<sup>8,9,33,34</sup> Studies on mechanisms of tolerance induction by the commensal bacterium *Bacteroides fragilis* have demonstrated the capacity of its product polysaccharide A to promote Foxp3<sup>+</sup> Treg cell formation by signaling through Toll-like receptor 2 receptors on T cells.<sup>35</sup> Loss of polysaccharide A abrogated tolerance induction by *B fragilis* and promoted instead T<sub>H</sub>17

formation. These findings raise the possibility that the changes in the commensal flora in *Il4raF709* mice might adversely affect iTreg cell formation and function. Yet another mechanism could involve the direct promotion of allergic inflammation by components of the food allergy-associated microbiota in a manner similar to the promotion of T<sub>H</sub>17 cells by segmented filamentous bacteria.<sup>36-38</sup>

The association of oral allergic sensitization in a food allergy-prone experimental model with specific changes in the microbiota suggests that similar changes might be operative in the human disease. Some previous studies with bacterial cultures and early-generation 16S rDNA assays have intimated that such changes can indeed occur in the human host, although those observations have been conflicted, possibly because of the technical limitations of these methodologies.<sup>18,19</sup> Profiling of bacterial 16S ribosomal RNA by using rDNA arrays and deep sequencing approaches promises detailed characterization of the microbiota in different subsets of patients with food allergy.<sup>39</sup> The results of such approaches might be useful in guiding the diagnosis and classification of different phenotypes of food allergy and in aiding therapeutic interventions, including those with probiotic bacteria, that aim to restore a tolerogenic microbiota.<sup>40</sup>

#### Key messages

- The microbiota of food allergic hosts are altered in a specific, reproducible manner.
- They transmit disease susceptibility to naive, germ-free recipients.
- They are subject to reprogramming upon enforced tolerance with allergen-specific regulatory T cells.

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